Malpractice Policy
2020-2021

Signature: 

Headteacher

Approval Date: September 2020

Review Date: September 2021
Definition

Malpractice consists of those acts, which compromise, attempt to compromise or may compromise the process of assessment, the integrity of any qualification or the validity of an examination result or certificate. This can damage the authority and reputation of all concerned.

Maladministration is any activity or practice which results in non-compliance with administrative regulations and requirements.

West Somerset College does not tolerate actions (or attempted actions) of malpractice or maladministration by Students and internal and external staff in connection with any qualification and examinations.

West Somerset College may enforce disciplinary action on Students or staff where incidents (or attempted incidents) of malpractice or maladministration have been proven.

Introduction

This document provides procedures for investigating and determining allegations of malpractice and maladministration.

All staff must be vigilant regarding malpractice and maladministration where malpractice or maladministration occurs it must be dealt with in a fair, thorough, impartial and objective way.

The Deputy Headteacher will supervise investigations resulting from allegations of malpractice or maladministration. They are also required to inform all suspected of malpractice or maladministration of their rights and responsibilities. Investigations will escalate to Headteacher, depending on their gravity and scope.

West Somerset College reserves the right, in suspected cases of malpractice and maladministration to withhold the issuing of results/certificates or suspend any employee or contractor while an investigation is in progress. The reissuing will then depend on the outcome of the investigation.

Guidance

• Tutors to have asked all Students to declare that their work is their own
• All Students are informed of malpractice and the penalties for attempted and actual incidents
• All Students to be aware of the appropriate formats recorded cited texts and other relevant materials or information sources that can contribute to achievement.
• That procedures for marking and examining work are efficient and reduce or identify malpractice e.g. plagiarism, collusion, cheating etc.
• Access controls to prevent students from accessing and using other people’s work when using networked computers
• Examiners to follow JCQ guidelines for security of exam papers and invigilation procedures

Student malpractice
The following are examples of malpractice by Students; this list is not exhaustive and the company, at its discretion, may consider other instances of malpractice.

- Plagiarism - copying or passing off work as own including artwork, images, words, computer generated work (including internet sources), thoughts, inventions and/or discoveries with or without the inventors consent
- Collusion – working collaboratively with other Students, beyond what is permitted
- Copying from another Student or allowing work to be copied
- Deliberate destruction of another Student’s work
- Disruptive behaviour in an examination room (including the use of offensive language)
- Exchanging, obtaining, receiving, passing on information (or the attempt to), which could be examination related.
- Making a false declaration of authenticity in relation to the authorship of coursework or the contents of a portfolio
- Allowing others to assist in the production of coursework or assisting others in the production of coursework
- The misuse, or the attempted misuse, of examination materials and resources (e.g. exemplar materials)
- Being in possession of confidential material in advance of the examination
- Personating/pretending to be someone else
- Theft of another student’s work
- Bringing into the examination room unauthorised material e.g. study guides, Behaviour in a manner as to undermine the integrity of the examination □ Altering any results documentation including certificates.
- Failing to abide by instructions or advice of an examiner
- Breach of the instructions or advice of an examiner

Internal and external staff malpractice and maladministration

The following are examples of malpractice by internal and external staff; this list is not exhaustive and the company, at its discretion, may consider other instances of malpractice:

- Failing to keep JCQ records secure
- Tampering with Students scripts or coursework after collection
- Failing to keep Student computer files, which contain coursework secure
- Obtaining unauthorised access to exam materials prior to examination
- Altering or changing marks for internally assessed components
- Manufacturing evidence of competence
- Entering fictitious Students for examinations
- Altering JCQ assessment criteria
- Assisting Students in producing work where the support has the potential to influence an outcome or assisting with answers.
- Failing to ensure Students coursework is adequately monitored
- Failure to use current assignments for assessments
- Failure to issue Students with appropriate notices and warnings
• Failure to inform JCQ of alternative sites for examinations
• Failure to ensure that the examination venue conforms to JCQ requirements
• Failure to keep accurate and timely records
• Failing to report an instance of suspected malpractice to JCQ as soon as possible after such an incident occurs or is discovered
• Failing to conduct a thorough investigation into suspected malpractice
• Inappropriate retention or destruction of certificates □ Producing falsified records e.g. sick notes, student ID
• Knowingly allowing evidence that is not the Students to be included in coursework
• Facilitating and allowing imitation
• Misusing conditions for special learner requirements
• Falsifying records/certificates

Discovery of malpractice and maladministration

Any malpractice or maladministration or attempted acts of malpractice or maladministration, which have influenced the outcomes for Students, must be reported to the Exams officer. Any alleged incident of malpractice or maladministration brought to attention after the issue of certificates may result in a full investigation by JCQ. Depending on the outcome of the investigation, certificates could be recalled and declared invalid.

Investigating alleged malpractice and maladministration

When dealing with alleged malpractice and maladministration West Somerset College will primarily deal with the Exams officer and will require full access to all records for investigation purposes. If applicable, examiner feedback may also form part of the investigation.

As part of this investigation the company retains the right to involve Students and others in the investigation process. During the investigation period JCQ may withhold the release of results/certificates or withhold examination papers if the security of an examination is considered at risk (pending the outcome of the investigation).

If malpractice or maladministration is discovered or is reported directly to JCQ by a third party, JCQ will conduct an investigation appropriate to the nature of the allegation.

Dealing with malpractice and maladministration

It is the responsibility of the Exams officer to investigate any allegation of malpractice and maladministration. Any allegation of malpractice and maladministration against the Exams officer will be investigated by the Deputy Headteacher. Any alleged incident must be reported to the Exams officer at the earliest opportunity. If malpractice or maladministration is discovered or suspected within West Somerset College the individual must be made fully aware, in writing, at the earliest opportunity of the nature of the allegation and of the possible consequences should the malpractice or maladministration be proven. The individual must be given the opportunity to respond, in writing,
to the allegations made and informed of the avenues for appealing should the judgement be made against them.
In cases where certificates are deemed to be invalid the regulatory authorities will be informed. Investigations will escalate to the Headteacher depending on their gravity and scope.

Penalties and sanctions applied
Where malpractice or maladministration is proven, West Somerset College will have to consider whether the integrity of the examination might be in jeopardy and if the member of internal or external staff or Student in question would be involved in future examinations.

Actions from JCQ may include:

- Refusing to accept examination entries from the school/college
- Reserving the right to withdraw programme approval
- Refusing to issue or to withdraw certificates

Appeals
If the outcome of an investigation is unsatisfactory those involved have the right to appeal in the first instance to the Headteacher then to the governors who’s decision is final.

JCQ require school/colleges to inform Head Office promptly should there be any publicity around malpractice or maladministration to minimise any adverse effect for all concerned.