Bisham CofE Primary School

Complaints Policy

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The staff and Governing Body of Bisham CoFE Primary School are committed to providing a caring, friendly and safe environment for all our pupils so they can learn in a relaxed and secure atmosphere. We believe every pupil should be able to participate in all school activities in an enjoyable and safe environment and be protected from harm. This is the responsibility of every adult employed by or invited to deliver services at Bisham CoFE Primary School. We recognise our responsibility to safeguard and promote the welfare of all our pupils by protecting them from physical, sexual or emotional abuse, neglect and bullying.

1. **General Principles of Complaints**

   Section 29 of the Education Act 2002 requires that:

   (1) The Governing Body of a maintained school (including a maintained nursery school) shall –

   (a) establish procedures for dealing with all complaints relating to the school or to the provision of facilities or services under section 27, other than complaints falling to be dealt with in accordance with any procedures required to be established in relation to the school by virtue of a statutory provision other than this section, and

   (b) publicise the procedures so established.

   (2) In establishing or publicising procedures under subsection (1), the Governing Body shall have regard to any guidance given from time to time (in relation to England) by the Secretary of State.

   Section 39 of the Education Act 2002 provides the following:

   “Maintained school” means a community, foundation or voluntary school, a community or Foundation special school or a maintained nursery school;

   “Maintained nursery school” means a nursery school which is maintained by a local education authority and is not a special school.

2. **Framework of Principles**

   The Governing Body Complaints Procedure will:

   • have due regard for the need to safeguard and promote the welfare of all pupils attending Bisham CoFE Primary School
   • encourage resolution of problems by informal means wherever possible;
   • be easily accessible and publicised;
   • be simple to understand and use;
   • be impartial;
   • be non-adversarial;
   • allow swift handling with established time-limits for action and keeping people informed of the progress;
   • ensure a full and fair investigation by an independent person where necessary;
   • respect people’s desire for confidentiality;
   • address all the points at issue and provide an effective response and appropriate redress, where necessary;
   • provide information to the school’s senior management team so that services can be improved.

3. **Investigating Complaints**

   The Governing Body Complaints Procedure will:

   • establish what has happened so far, and who has been involved;
   • clarify the nature of the complaint and what remains unresolved;
   • meet with the complainant or contact them (if unsure or further information is necessary);
   • clarify what the complainant feels would put things right;
• Interview those involved in the matter and/or those complained of, allowing them to be accompanied if they wish;
• conduct the interview with an open mind and be prepared to persist in the questioning;
• keep notes of the interview.

4. **Resolving Complaints**

The Governing Body Complaints Procedure will:

At each stage in the procedure keep in mind ways in which a complaint can be resolved. The GB is aware that it might be sufficient to acknowledge that the complaint is valid in whole or in part.

In addition, it may be appropriate to offer one or more of the following:

• An apology;
• An explanation;
• An admission that the situation could have been handled differently or better;
• An assurance that the event complained of will not recur;
• An explanation of the steps that have been taken to ensure that it will not happen again;
• An undertaking to review school policies in light of the complaint;
• Complainants are encouraged to state what actions they feel might resolve the problem at any stage.

NB: An admission that the school could have handled the situation better is not the same as an admission of negligence.

5. **Vexatious Complaints**

If the complainant tries to reopen the same issue, the chair of the GB is able to inform them in writing that the procedure has been exhausted and that the matter is now closed.

6. **Time-Limits**

The Governing Body Complaints Procedure will:

• Seek to resolve issues as quickly and efficiently as possible
• Ensure realistic time limits for each action within each stage.

(E.g. Stage 1 / 2: 10 Days Stage 3 : 15 Days.

However, where further investigations are necessary, new time limits will be set and the complainant sent details of the new deadline and an explanation for the delay).

7. **Publicising the Procedure**

The Governing Body will ensure that the Complaints Procedures is included in:

• the information given to new parents when their children join the school;
• the school website.

8. **Bisham CofE Primary School Complaints Procedure**

There are four school-based stages in the complaints procedure:

**Stage one:** complaint heard by staff member (though not the subject of the complaint);

**Stage two:** complaint heard by Head Teacher;

**Stage three:** complaint heard by Chair of Governors

**Stage four:** Governing Body’s complaints appeal Panel.

The Governing Body is committed to ensuring that Complaints are resolved at the earliest possible stage.
Complaints at Stage three and Stage four may be heard by independent governors from another school through a collaboration agreement which ensures complete impartiality and independence. Any reference to governors may be governors under a collaboration agreement.

All members of staff are aware of the procedures and know what to do when they receive a complaint.

The school respects the views of a complainant who indicates that he/she would have difficulty discussing a complaint with a particular member of staff. In these cases, the complaints co-ordinator can refer the complainant to another staff member.

Where the complaint concerns the Head Teacher, the complaints co-ordinator can refer the complainant to the chair of Governing Body.

If the member of staff directly involved feels too compromised to deal with a complaint, the complaints co-ordinator may consider referring the complainant to another staff member.

The member of staff may be more senior but does not have to be. The ability to consider the complaint objectively and impartially is crucial.

Where the first approach is made to a governor, the next step would be to refer the complainant to the appropriate person and advise them about the procedure. It would be useful if Governing Body did not act unilaterally on an individual complaint outside the formal procedure or be involved at the early stages in case they are needed to sit on a Panel at a later stage of the procedure.

9. **Stage One (Informal): Complaint Heard by member of staff.**

The meeting arranged to discuss your concerns informally

- You can bring a friend to any discussion.
- The member of staff dealing with the concern should make sure that you are clear as to what action (if any) or monitoring of the situation has been agreed.
- This stage should be completed speedily and concluded in writing with appropriate detail.
- Where no satisfactory solution has been found, or you are dissatisfied with the way the complaint has been handled you should be informed that you can consider making a formal complaint in writing to the Head Teacher which will take matters to stage two of the complaints procedure.

10. **Stage Two (formal): Complaint Heard by the Head Teacher**

10.1 The Head Teacher should acknowledge your complaint in writing. In some cases the Head Teacher will have already been involved in looking at the matter; in others it will be his/her first involvement.

10.2 The Head Teacher should consider providing an opportunity to meet with you to supplement any information previously provided.

10.3 If the complaint is against a member of staff the Head Teacher should talk to the staff member against whom the complaint has been made.

10.10 If necessary, the Head Teacher should interview witnesses and take statements from those involved.

10.5 The Head Teacher should keep reasonable written records of meetings, telephone conversations and other documentation.

10.6 Once all the relevant facts have been established, the Head Teacher should produce a written response to you. The Head Teacher may wish to meet with you to discuss/resolve the matter before confirming the outcome in writing.

10.7 The written response should include a full explanation of the decision and the reasons for it. Where appropriate, it should include what action the school will take to resolve the complaint.
You should also be advised that if you are not satisfied with the response and wish to take the matter further, you can do so, by writing to the Chair of the Governing Body within three weeks of receiving the outcome letter.

Stage 2 should be completed in 10 school days. However, it is recognised that this timetable is likely to prove impossible for complaints which are complex. In such cases, the Head Teacher should write to you giving a revised target date.

Stage 2 should be completed in 10 school days. However, it is recognised that this timetable is likely to prove impossible for complaints which are complex. In such cases, the Head Teacher should write to you giving a revised target date.

Stage Three (formal): Complaint Heard by the Chair of Governors

If you are not satisfied with the response of the Head Teacher or the complaint is about the Head Teacher, you should write to the Chair of Governors to request that their complaint is considered further.

Stage Four (formal): Complaint Heard by the Governing Body Complaints Appeal Panel

If you are not satisfied with the outcome at Stage two or three you should write to the Clerk of the Governing Body giving details of the complaint. The Chair, or a nominated governor, will convene a Governing Body complaints Panel.

The Governing Body appeal hearing is the last school-based stage of the complaints process, and is not convened to merely rubber-stamp previous decisions.

Individual complaints would not be heard by the whole Governing Body at any stage, as this could compromise the impartiality of any Panel set up for a disciplinary hearing against a member of staff following a serious complaint.
## Complaints Flowchart

<table>
<thead>
<tr>
<th>STAGE ONE: INFORMAL</th>
<th>Expression of concern to member of staff</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Satisfactory outcome reached?</td>
</tr>
<tr>
<td></td>
<td>No</td>
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<td></td>
<td>Yes</td>
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<td></td>
<td>No further action</td>
</tr>
</tbody>
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<table>
<thead>
<tr>
<th>STAGE TWO: HEADTEACHER’S/CHAIR OF GOVERNORS’ INVESTIGATION</th>
<th>Complainant makes verbal/written complaint to headteacher (or chair of governors if complaint is about the headteacher).</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Investigation conducted and outcome reported to complainant.</td>
</tr>
<tr>
<td></td>
<td>Satisfactory outcome reached?</td>
</tr>
<tr>
<td></td>
<td>No</td>
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<td></td>
<td>Yes</td>
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<td>No further action</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>STAGE THREE: GOVERNORS’ COMMITTEE</th>
<th>Complainant refers matter to governors’ complaints committee.</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Investigation by complaints committee of governors. Complaints committee hears complaint.</td>
</tr>
<tr>
<td></td>
<td>Satisfactory outcome reached?</td>
</tr>
<tr>
<td></td>
<td>No</td>
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<td></td>
<td>Yes</td>
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<td></td>
<td>No further action</td>
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<tr>
<th>STAGE FOUR: FURTHER RIGHT OF PROGRESSING COMPLAINT</th>
<th>There is no further right of appeal, but if the complainant considers that the process followed by the Governors’ Complaints Committee has been unfair, s/he may refer the case to the DCSF and/or the local authority. Please note that this provision is currently under consideration by the DCSF which could impact on Stage Four (see Paragraph 54).</th>
</tr>
</thead>
</table>
13. **The Remit of the Complaints Appeal Panel**

The Panel can:

- Dismiss the complaint in whole or in part;
- Uphold the complaint in whole or in part;
- Decide on the appropriate action to be taken to resolve the complaint;
- Recommend changes to the school’s systems or procedures to ensure that problems of a similar nature do not recur.

There are several points which any GB Member sitting on a complaints Panel needs to remember:

a. It is important that the appeal hearing is independent and impartial and that it is seen to be so. No member may sit on the Panel if they have had a prior involvement in the complaint or in the circumstances surrounding it. In deciding the make-up of the Panel, the Governing Body needs to try to ensure that it is a cross-section of the categories of governor and sensitive to the issues of race, gender and religious affiliation.

b. The aim of the hearing, which needs to be held in private, will always be to resolve the complaint and achieve reconciliation between the school and the complainant. However, it has to be recognised the complainant might not be satisfied with the outcome if the hearing does not find in their favour. It may only be possible to establish the facts and make recommendations which will satisfy the complainant that his or her complaint has been taken seriously.

c. An effective Panel will acknowledge that many complainants feel nervous and inhibited in a formal setting. Parents often feel emotional when discussing an issue that affects their child. The Panel chair will ensure that the proceedings are as welcoming as possible. The layout of the room will set the tone and care is needed to ensure the setting is informal and not adversarial.

d. Extra care needs to be taken when the complainant is a child. Careful consideration of the atmosphere and proceedings will ensure that the child does not feel intimidated. The Panel needs to be aware of the views of the child and give them equal consideration to those of adults. Where the child’s parent is the complainant, it would be helpful to give the parent the opportunity to say which parts of the hearing, if any, the child needs to attend.

e. The Governing Body members sitting on the Panel need to be aware of the complaints procedure.

14. **Stage Four: Complaint Heard by the Governing Body Complaints Appeal Panel / Consideration by the Governing Body**

14.1 If the complainant decides to take the matter further, the Chair of the Governing Body should write to the parent to acknowledge the complaint within two school days of receipt of the complaint. A copy of the acknowledgement and the complaints form should be sent to the Head Teacher and the Clerk to the Governing Body. (A standard letter is attached to this document which the Chair may wish to use).

**Investigating the complaint –**

14.2 If the complaint has been investigated at Stages 1, 2 & 3 the results of the investigation must be made available to the Clerk/Chair by the Head Teacher.

14.3 However, where the complaint is against the Head Teacher and the complaint is referred to Stage 4, the Chair of the Governing Body must decide, in consultation with the Chair of the Complaints Committee whether and how the complaint should be investigated.

**Complaints Panel –**
Three Governing Body members can be drawn by the Clerk to constitute a Panel. The Governing Body may nominate the Panel with delegated powers to hear complaints at that stage, and set out its terms of reference.

14.4 These can include:

- drawing up its procedures;
- hearing individual appeals;
- making recommendations on policy as a result of complaints.

The procedure adopted by the Panel for hearing appeals would normally be part of the school’s complaints procedure. The Panel can be drawn from the nominated members and may consist of three or five people. The Panel may choose their own chair.

14.5 The Head Teacher should not serve on the Panel.

14.6 The Panel should consider the complaint on the basis of the written evidence and usually also set up a hearing and hear both parties. GB Members may also take evidence on their own initiative. GB members should reconsider the issues raised in the original complaint and not confine themselves to consideration of procedural issues. If they decide to set up a hearing, the Panel should follow the procedure set out in paragraphs 3.5 to 3.19 below.

14.7 The Chair of the Panel should take a decision at the beginning of Stage 4 on whether to seek the services of a clerk to:

- Deal with the administration of the procedure;
- provide independent advice on procedure and evidence;
- ensure that the relevant facts are established;
- Minute the meeting and
- draft the decision letter.

14.8 The Clerk/Chair of the Complaints Panel should write to the parent to explain how the review will be conducted. The letter should be copied to the Head Teacher.

14.9 The Clerk/Chair of the Panel should confirm the date of the meeting with the other governor(s).

14.10 The parent and Head Teacher should be invited to attend the meeting. The date and time of the meeting should be convenient to the parent and Head Teacher, within reason. The notification should inform the parent of his/her right to be accompanied to the meeting by a friend/representative. It should also explain how the meeting will be conducted and of the parent’s right to submit further written evidence to the Panel.

14.11 The Head Teacher should also be invited to prepare a written report for the Panel in response to the complaint.

14.12 All relevant correspondence regarding the complaint should be circulated to the Panel members; the parent and the Head Teacher in advance of the meeting.

14.13 If the Head Teacher and/or the parent wish to call witnesses, the agreement of the Chair of the Panel should be obtained in advance of the meeting.

14.14 It is the responsibility of the Chair to ensure that the meeting is properly conducted. However, the proceedings should be as informal as possible.

14.15 The aim of the meeting should be to resolve the complaint and achieve reconciliation between the school and the complainant. However, at the end of the meeting the committee will need to issue a finding in writing either upholding or not upholding the complaint or upholding some parts and not others.

14.16 If either party wishes to introduce previously undisclosed evidence or witnesses, it is in the interest of natural justice to adjourn the meeting so that the other side has time to respond to the new evidence. Late evidence of witnesses should not be accepted unless there is a good reason for the lateness.
14.17 The meeting should allow for:

- The parent to explain his or her complaint and the Head Teacher to explain the reasons for his or her decision;
- The Head Teacher to question the complainant about the complaint and the complainant to question the Head Teacher;
- Panel members to have an opportunity to question both the complainant and the Head Teacher;
- Any party to have the right to bring witnesses (subject to the approval of the Chair) and all parties having the right to question all the witnesses.
- Final statement by the Head Teacher and parent.

14.18 The Chair of the panel should explain to the parent and the Head Teacher that the panel will consider its decision, and a written response will be sent to both parties as soon as possible. The complainant, Head Teacher and any witnesses will then leave.

14.19 The Panel will consider the complaint and all the evidence presented and reach a unanimous, or at least a majority, decision on the complaint. Where appropriate the Panel can decide on the action to be taken to resolve the complaint and/or suggest recommended changes to the school’s system or procedures to ensure that problems of a similar nature do not happen again.

14.20 Governing Body will not pay financial compensation as a response to a complaint, though may spend money on an appropriate educational purpose.

14.21 The Clerk/Chair will send a written statement outlining the decision with reasons to both the complainant and the Head Teacher.

14.22 The complainant should be advised that if s/he is dissatisfied with the response s/he has the right to take the matter further by complaining to the Secretary of State for Education and Skills.

14.23 Stage 4 should be completed in 15 school days. However, it is recognised that this timetable is likely to prove impossible for complaints which are complex. In such cases the Chair of the Complaints Panel should write to the parent giving a revised target date.

14.24 In exceptional circumstances the Panel may decide after taking advice from the LA not to proceed to consider the complaint on the grounds that the complaint has already been dealt with or is malicious.

15. Roles and Responsibilities

15.1 The Role of the Clerk

It is strongly recommended that any Panel or group of Governing Body considering complaints be clerked. The clerk would be the contact point for the complainant and be required to:

- set the date, time and venue of the hearing, ensuring that the dates are convenient to all parties and that the venue and proceedings are accessible;
- collate any written material and send it to the parties in advance of the hearing;
- meet and welcome the parties as they arrive at the hearing; record the proceedings;
- Notify all parties of the Panel’s decision.

15.2 The Role of the Chair of the Governing Body or the Nominated Governor

The nominated governor role:

- check that the correct procedure has been followed;
- If a hearing is appropriate, notify the clerk to arrange the Panel.

15.3 The Role of the Chair of the Panel

The Chair of the Panel has a key role, ensuring that:
• the remit of the Panel is explained to the parties and each party has the opportunity of putting their case without undue interruption;
• the issues are addressed;
• key findings of fact are made;
• parents and others who may not be used to speaking at such a hearing are put at ease;
• the hearing is conducted in an informal manner with each party treating the other with respect and courtesy;
• the Panel is open minded and acting independently;
• no member of the Panel has a vested interest in the outcome of the proceedings or any involvement in an earlier stage of the procedure;
• Each side is given the opportunity to state their case and ask questions; written material is seen by all parties. If a new issue arises it would be useful to give all parties the opportunity to consider and comment on it.

15.4 Notification of the Panel’s Decision

The chair of the Panel needs to ensure that the complainant is notified of the Panel’s decision, in writing, with the panel’s response; this is usually within a set deadline which is publicised in the procedure. The letter needs to explain if there are any further rights of appeal and, if so, to whom they need to be addressed.
Appendix A

Complaints Panel

A Governing Body Complaints Panel hearing does not operate as a court of law, but nevertheless it is important that the hearing is seen to be fair and in accordance with the principles of natural justice.

The Chair of the Panel will endeavour to make the proceedings as informal as possible, whilst still following an order which gives some structure to the hearing.

Both parties are entitled to bring a representative with them, if they wish, in order to help them present their case and ask questions of the other party.

Any written documentation which either party wishes the Panel to take into account should be submitted in good time so that copies can be distributed to both parties and to members of the Panel before the hearing.

Order of Proceedings

The following order of proceedings will operate for the conduct of the Panel hearing.

1. Welcome and introduction by the Chair of the Panel.
2. The parents (and/or their representative) explain the nature of their complaint.
3. The Head Teacher (and/or his/her representative) asks the parents (and/or their representative) any questions about what they have said.
4. The Panel members ask the parents (and/or their representative) any questions.
5. The Head Teacher (and/or his/her representative) responds to the parental complaint.
6. The parents (and/or their representative) ask the Head Teacher (and/or his/her representative) any questions about what he/she has said.
7. The Panel members ask the Head Teacher (and/or his/her representative) any questions.
8. The parents (and/or their representative) sum up their case.
9. The Head Teacher (and/or his/her representative) sums up his/her case.
10. Both parties leave the room where the hearing is taking place.
11. The Panel considers the case and makes a decision.

The decision-making process may take some time, and it is recommended that both parties leave the venue for the meeting, rather than waiting outside the room for the Panel’s decision.

As soon as a decision has been reached, both parties will be informed by the Chair of the Panel of the decision, the reasons leading to it, and any action taken or proposed by the Panel in relation to the complaint.

This may be by telephone in the first instance, but will subsequently be confirmed in writing within 5 working days.

Reaching a Decision
The Panel makes its decision when both parties to the hearing have left the room. The decision which is reached will be an objective one, based on the evidence presented to the Panel, and not on any preconceptions about either of the parties involved.

In essence, there are two possible decisions that the Panel can reach:

1. The parental complaint is upheld, as a result of which the school is directed to take particular action(s) to ensure that a similar complaint does not occur in the future.

2. The parental complaint is not upheld, and the school is deemed to have acted correctly in its handling of the situation.

Matters may not be quite so clear-cut. The Panel may decide that although, on balance, they do not uphold the parental complaint, they nevertheless recommend certain courses of action to be taken by the school so that any future concerns raised are dealt with more effectively.

The Panel will work on the principle that at all times justice must be done, and must be seen to be done, so that both parties can have confidence in the decision resulting from the hearing.

What Happens Next?

The decision of the Governing Body Complaints Panel is binding on both parties and there is no further right of appeal at Governing Body or LA level. If parents are dissatisfied with the decision of the Panel, they may write to either the Local Government Ombudsman on the grounds of “misadministration” or to the Secretary of State for Education and Employment on the grounds that the Governing Body has acted “unreasonably” or “illegally”.

Checklist for a Panel Hearing

The Panel needs to take the following points into account:

- The hearing is as informal as possible.
- Witnesses are only required to attend for the part of the hearing in which they give their evidence.
- After introductions, the complainant is invited to explain their complaint, and be followed by their witnesses.
- The Head Teacher may question both the complainant and the witnesses after each has spoken.
- The Head Teacher is then invited to explain the school’s actions and be followed by the school’s witnesses.
- The complainant may question both the Head Teacher and the witnesses after each has spoken.
- The Panel may ask questions at any point.
- The complainant is then invited to sum up their complaint.
- The Head Teacher is then invited to sum up the school’s actions and response to the complaint.
- Both parties leave together while the Panel decides on the issues.
- The chair explains that both parties will hear from the Panel within a set time scale.
Appendix B

Bisham CofE Primary School Complaint Form

Please complete and return to ___________________ (Complaints co-ordinator) who will acknowledge receipt and explain what action will be taken.

Your name: ____________________________________________

Pupil’s name: __________________________________________

Your relationship to the pupil: _____________________________

Address: ______________________________________________

Postcode: ______________________________________________

Day time telephone number: _______________________________

Evening telephone number: ________________________________

Please give details of your complaint.

What action, if any, have you already taken to try and resolve your complaint. (Who did you speak to and what was the response)?
What actions do you feel might resolve the problem at this stage?

Are you attaching any paperwork? If so, please give details.

Signature: ______________________________

Date: ___/___/_____

OFFICIAL USE

Date acknowledgement sent: ___/___/_____

By who: ______________________________

Complaint referred to: ________________________

Date: ___/___/_____